### **Abstraction in Concurrent Systems**

#### **Beyond Monotonicity**

#### **Towards a Modular Development**

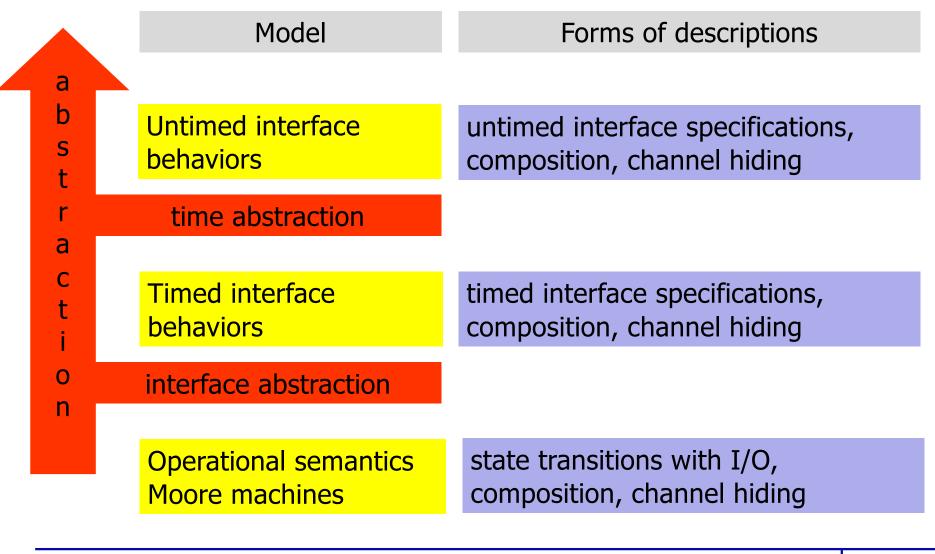
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- Generalized Moore machines are chosen as computational model
  - Concurrent composition
  - Compositionality
- Moore machines are a perfect model for explicit concurrency
  - Crystal clear notion of internal (encapsulation, information hiding) and external (interface) behavior
  - Output States Built in notion of time
- Abstractions for the behavior of Moore machines
  - Interface abstraction: timed and nontimed
  - Time abstraction
- Interface specifications and their concurrent composition
- Timed based reasoning for untimed system specifications
- Modularity
- Modelling cyber-physical systems



Abstraction in Concurrent Systems. Princeton, May 2024

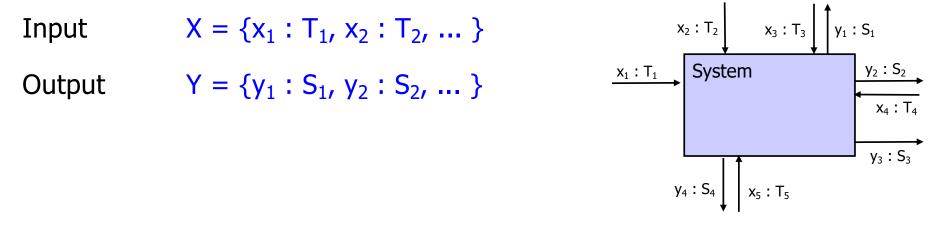
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Given channel sets X and Y, a syntactic interface is denoted by

#### (X►Y)

Sets of typed channels (names of communication lines)



For syntactic interface (X  $\triangleright$  Y), a generalized nondeterministic (total) Moore machine with state space  $\Sigma$  is a pair ( $\Delta$ ,  $\Lambda$ ) where  $\Delta$  is a *total state transition function* 

$$\Delta: (\Sigma \times \overline{\mathsf{X}}_{\mathsf{fin}}) \to \mathscr{O}(\Sigma \times \overline{\mathsf{Y}}_{\mathsf{fin}}) \setminus \{\varnothing\}$$

and  $\Lambda \subseteq \Sigma$  is a *nonempty set of initial states* and for  $a \in \overline{X}_{fin}$ ,  $b \in \overline{Y}_{fin}$ ,  $\sigma, \sigma' \in \Sigma$  $(\sigma', b) \in \Delta(\sigma, a)$ 

where the output **b** does not depend on the input **a** but only on the state  $\sigma$ . Formally defined, there exists an output function:

 $\Xi: \Sigma \to \wp(\overline{\mathsf{Y}}_{\mathsf{fin}}) \setminus \{\varnothing\}$ 

indicating that the output depends only on the state such that

 $\forall \ \sigma \in \Sigma, \ a \in \overline{X}_{fin}: \ \Xi(\sigma) = \{b \in \overline{Y}_{fin}: \ \exists \ \sigma' \in \Sigma: \ (\sigma', \ b) \in \Delta(\sigma, \ a)\}$ 

Interaction: Input and Output via Syntactic Interfaces (X > Y)

Set of inputs for a syntactic interface in one step of the system

 $\overline{X}_{fin}$  = (X  $\rightarrow$  M\*)

For input  $z \in \overline{X}_{fin}$  for each channel  $x_k$  the sequence of values  $z(x_k)$  is of type  $T_k$ 

The same holds for output  $y \in \overline{Y}_{fin}$ 

 $\overline{Y}_{fin} = (Y \rightarrow M^*)$ 

Timed streams  $(M^*)^{\omega} = \mathbb{N}_+ \rightarrow M^*$ 

Finite timed streams  $(M^*)^* = \bigcup_{n \in \mathbb{N}} (\{m \in \mathbb{N}_+ : m \le n\} \to M^*)$ 

For  $x \in (M^*)^{\omega}$ :

$$\begin{split} x \downarrow t : & \{n \in \mathbb{N} \colon 1 \leq n \leq t\} \to M^* \\ 1 \leq n \leq t \Rightarrow & (x \downarrow t)(n) = x(n) \end{split}$$

Given a set of typed channel names

 $X = \{c_1:T_1, ..., c_m:T_m\}$ 

by  $\vec{X}$  we denote channel histories given by families of timed streams, one timed stream for each of the channels:

Timed histories

 $\vec{X} = (X \rightarrow (M^*)^{\omega})$ 

Finite timed histories

 $\overrightarrow{X}_{fin} = (X \rightarrow (M^*)^*)$ 

#### Computations of Moore machines and interface abstraction

Given a Moore machine  $(\Delta, \Lambda)$  for syntactic interface  $(X \triangleright Y)$  with state space  $\Sigma$  a computation is given by

- an infinite stream of states  $\{\sigma_i \in \Sigma: i \in \mathbb{N}\}$
- an input history of  $x \in \vec{X}$
- an output history  $y \in \vec{Y}$

such that  $\sigma_0 \in \Lambda$  and

 $\forall i \in \mathbb{N}: (\sigma_{i+1}, y(i+1)) \in \Delta(\sigma_i, x(i+1))$ 

This way a Moore machine defines an timed interface predicate :

$$\llbracket \Delta, \Lambda \rrbracket \quad : \quad \overrightarrow{\mathsf{X}} \times \overrightarrow{\mathsf{Y}} \to \mathbb{B}$$

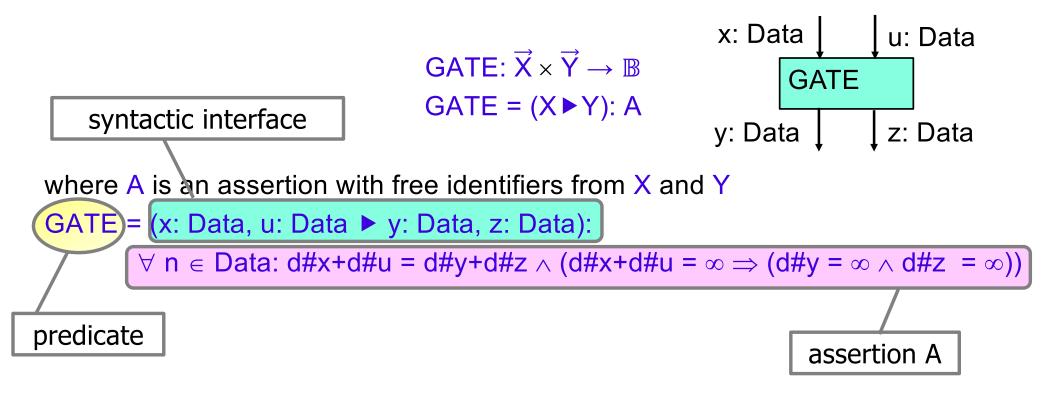
the result of interface abstraction: information hiding of states

Example of interface specification for a system: predicate GATE

We specify a system by an interface predicate GATE on timed streams x, u, y,  $z \in (Data^*)^{\circ}$  of data as follows

 $\begin{array}{l} \mathsf{GATE} = (x: \mathsf{Data}, u: \mathsf{Data} \blacktriangleright y: \mathsf{Data}, z: \mathsf{Data}): \\ \forall \ \mathsf{d} \in \mathsf{Data}: \ \mathsf{d}\#\mathsf{x} + \mathsf{d}\#\mathsf{u} = \mathsf{d}\#\mathsf{y} + \mathsf{d}\#\mathsf{z} \land (\mathsf{d}\#\mathsf{x} + \mathsf{d}\#\mathsf{u} = \infty \Rightarrow (\mathsf{d}\#\mathsf{y} = \infty \land \mathsf{d}\#\mathsf{z} = \infty)) \end{array}$ 

#### Interface specification: interface predicates and assertions



We write Q::(X ► Y) to express that Q is an interface predicate for the syntactic interface (X ► Y); we write Q<sup>A</sup> for the assertion on streams defined by Q



An interface specification is given by

- a name (such as GATE)
- a syntactic interface

GATE::(x: Data, u: Data ▶ y: Data, z: Data)

an interface assertion for the involved streams
 GATE<sup>A</sup> =

 (∀ d ∈ Data: d#x+d#u = d#y+d#z ∧ (d#x+d#u = ∞ ⇒ (d#y = ∞ ∧ d#z = ∞)))

An interface specification defines a functional specification of an interface predicate GATE::(x: Data, u: Data ► y: Data, z: Data) on histories/streams

# **Strong Causality**

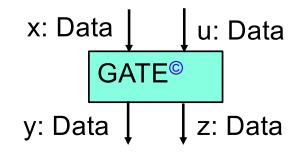
#### Q :: (X ► Y)

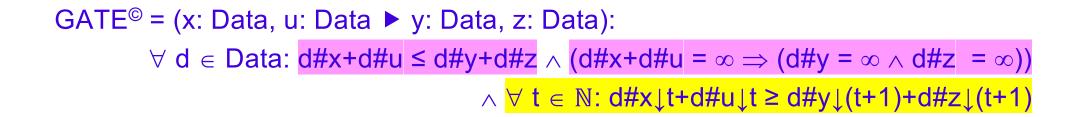
is strongly causal if for all x,  $x' \in \vec{X}$ ,  $y \in \vec{Y}$ ,  $t \in \mathbb{N}$  $x \downarrow t = x' \downarrow t \land Q(x, y) \Rightarrow \exists y' \in \vec{Y}$ :  $Q(x', y') \land y \downarrow t+1 = y' \downarrow t+1$ 

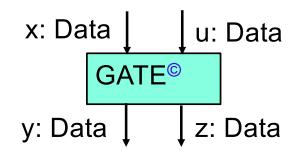
For every interface predicate  $Q::(X \triangleright Y)$ there exists a weakest refinement  $Q^{\odot}$  of Q which is strongly causal

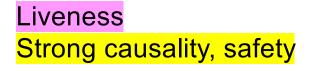
Note: If Q(x, y) = false for all  $x \in \vec{X}$ ,  $y \in \vec{Y}$  then Q is strongly causal Then does not exist a Moore machine with interface behavior that fulfils Q

 $\begin{array}{l} \mathsf{GATE}^{\textcircled{s}} = (x: \ \mathsf{Data}, \ u: \ \mathsf{Data} \ \blacktriangleright \ y: \ \mathsf{Data}, \ z: \ \mathsf{Data}): \\ \forall \ \mathsf{n} \in \ \mathsf{Data}: \ \mathsf{d}\#\mathsf{x} + \mathsf{d}\#\mathsf{u} = \ \mathsf{d}\#\mathsf{y} + \mathsf{d}\#\mathsf{z} \land (\mathsf{d}\#\mathsf{x} + \mathsf{d}\#\mathsf{u} = \infty \Rightarrow (\mathsf{d}\#\mathsf{y} = \infty \land \mathsf{d}\#\mathsf{z} \ = \infty)) \\ \land \ \forall \ \mathsf{t} \in \ \mathbb{N}: \ \mathsf{d}\#\mathsf{x} \downarrow \mathsf{t} + \mathsf{d}\#\mathsf{u} \downarrow \mathsf{t} \ge \mathsf{d}\#\mathsf{y} \downarrow (\mathsf{t}+1) + \mathsf{d}\#\mathsf{z} \downarrow (\mathsf{t}+1) \end{array}$ 











# **Full Realizability**

 $f {:} \overrightarrow{X} \to \overrightarrow{Y}$ 

is strongly causal if for all x,  $z \in \overrightarrow{X}$ ,  $t \in \mathbb{N}$ 

 $x \downarrow t = z \downarrow t \Rightarrow f(x) \downarrow t+1 = f(z) \downarrow t+1$ 

Then we write SC[f]

Every strongly causal f has a unique fixpoint (Proof: Banach's Fixpoint Theorem)



 $\begin{aligned} & \text{Given } \mathsf{Q}{::}(X \blacktriangleright Y) \\ & \text{Real}[\mathsf{Q}] = \{ f \in \overrightarrow{X} \to \overrightarrow{Y} \text{: } \mathsf{SC}[f] \land \forall \ x \in \overrightarrow{X} \text{: } \mathsf{Q}(x, f(x)) \} \end{aligned}$ 

Real[Q] denotes the set of realizations of Q Q is realizable if  $\exists f \in \text{Real}[Q]$ 

Q is fully realizable if Q is realizable and Q(x, y) =  $\exists f \in \text{Real}[Q]$ : y = f(x)

Every realization  $f \in \text{Real}[Q]$  defines a strategy to compute y = f(x) given x such that Q(x, y) holds For every predicate  $Q::(X \triangleright Y)$  there exists a weakest refinement  $Q^{\otimes}$  of Q

 $Q^{\mathbb{R}}(x, y) = \exists f \in Real[Q]: y = f(x)$ 

Q<sup>®</sup> is fully realizable if Q is realizable

Q<sup>®</sup> = false iff Q is not realizable

The interface behavior

### $[\Delta, \Lambda]$

of Moore machines  $(\Delta, \Lambda)$  is strongly causal and fully realizable



Theorem: Properties of timed interface behavior of Moore machines

For the interface behavior

 $\llbracket \Delta, \Lambda \rrbracket :: (\Delta, \Lambda)$ 

of Moore machines  $(\Delta, \Lambda)$  with syntactic interface  $(X \triangleright Y)$  we get:

 $\llbracket \Delta, \Lambda \rrbracket$  is strongly causal

 $\llbracket \Delta, \Lambda \rrbracket$  is fully realizable

For every fully realizable interface predicate  $Q::(X \triangleright Y)$  there exists a Moore machine  $(\Delta, \Lambda)$  with

 $\mathsf{Q}=\llbracket\!\!\![\Delta,\,\Lambda]\!\!]$ 

The introduced theory has a strong relationship to practical interactive computing

- Realizations of interface specifications
  - Have unique fixpoints (Banach, see also later)
  - Represent computations
- The interface Moore machines corresponds to fully realizable interface behaviors
- Causality models the relationship between input and output as found for practical systems
- Fully realizable interface behaviors form a semantic model for the interface behavior of Moore machines
  - Realizability introduces a strategy to guarantee certain liveness conditions
- Timed interface behaviors

# **Concurrent Composition**

Two syntactic interfaces  $(X_k \triangleright Y_k)$  for k = 1, 2, are called composable if

 $X_1 \cap X_2 = \emptyset$  $Y_1 \cap Y_2 = \emptyset$ 

and the channels both in  $X_1 \cup X_2$  and  $Y_1 \cup Y_2$  have consistent types.

These channels in  $(X_1 \cup X_2) \cap (Y_1 \cup Y_2)$  are (called) feedback channels.

Moore machines and interface predicates are called **composable**, if their syntactic interfaces are composable.

Moore machines  $(\Delta_k, \Lambda_k)$ :: $(X_k \triangleright Y_k)$ , k = 1, 2, with composable syntactic interfaces, are composed concurrently to a Moore machine,  $X = (X_1 \cup X_2) \setminus Y$ ,  $Y = Y_1 \cup Y_2$ ,

 $((\Delta_1, \Lambda_1) \times (\Delta_2, \Lambda_2) :: (X \triangleright Y))$ 

defined by

$$(\Delta, \Lambda) = ((\Delta_1, \Lambda_1) \times (\Delta_2, \Lambda_2))$$

where for

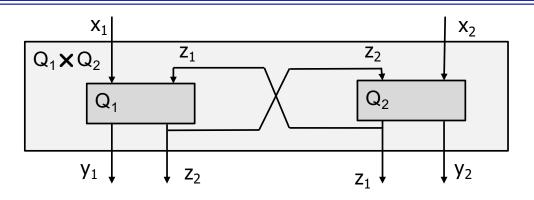
 $\Sigma = (\Sigma_1 \times \Sigma_2)$ 

 $\Lambda = \{ (\sigma_1, \sigma_2) : \sigma_1 \in \Sigma_1 \land \sigma_2 \in \Sigma_2 \}$ 

 $\Delta((\sigma_1, \sigma_2), x) = \{((\tau_1, \tau_2), y): (\tau_1, y|Y_1) \in \Delta_1(\sigma_1, x|X_1) \land (\tau_2, y|Y_2) \in \Delta_2(\sigma_2, x|X_2) \}$ 

### $\llbracket (\Delta_1, \Lambda_1) \times (\Delta_2, \Lambda_2) \rrbracket = (\llbracket \Delta_1, \Lambda_1 \rrbracket \land \llbracket \Delta_2, \Lambda_2 \rrbracket)$

 $(\mathsf{Q}_1 \Rightarrow \llbracket (\Delta_1, \Lambda_1 \rrbracket) \land (\mathsf{Q}_2 \Rightarrow \llbracket \Delta_2, \Lambda_2 \rrbracket) \Rightarrow ((\mathsf{Q}_1 \land \mathsf{Q}_2) \Rightarrow \llbracket (\Delta_1, \Lambda_1) \times (\Delta_2, \Lambda_2) \rrbracket)$ 



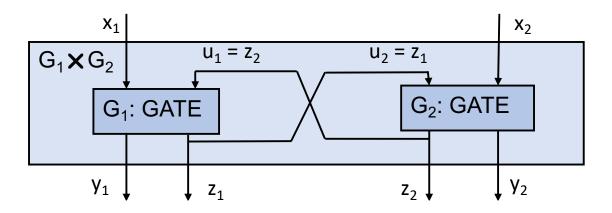
Interface predicates  $Q_k::(X_k \triangleright Y_k)$ , k = 1, 2, with composable syntactic interfaces are composed concurrently to an interface predicate

### $(\mathsf{Q}_1 \times \mathsf{Q}_2) :: (\mathsf{X} \triangleright \mathsf{Y})$

where X =  $(X_1 \cup X_2)$  Y, Y =  $Y_1 \cup Y_2$  and its interface assertion is defined by

$$(\mathsf{Q}_1 \times \mathsf{Q}_2)^{\mathsf{A}} = ((\mathsf{Q}_1^{^{\scriptscriptstyle(\mathsf{R})}})^{\mathsf{A}} \land (\mathsf{Q}_2^{^{\scriptscriptstyle(\mathsf{R})}})^{\mathsf{A}})$$

TG = (x<sub>1</sub>: Data, x<sub>2</sub>: Data ► y<sub>1</sub>: Data, z<sub>1</sub>: Data, y<sub>2</sub>: Data, z<sub>2</sub>: Data): GATE<sup>®</sup>(x<sub>1</sub>, z<sub>2</sub>, y<sub>1</sub>, z<sub>1</sub>) ∧ GATE<sup>®</sup>(x<sub>2</sub>, z<sub>1</sub>, y<sub>2</sub>, z<sub>2</sub>)



 $(Q_1 \times Q_2)$  is strongly causal if  $Q_1$  and  $Q_2$  are strongly causal

 $(Q_1 \times Q_2)$  is fully realizable if  $Q_1$  and  $Q_2$  are fully realizable

 $(\mathsf{Q}_1 \mathop{\bigstar} \mathsf{Q}_2)^{\mathsf{A}} \Longrightarrow (\mathsf{Q}_1^{\mathsf{A}} \land \mathsf{Q}_2^{\mathsf{A}})$ 

In most cases, for practical useful interface predicates, we have  $Q::(X \triangleright Y)$  $Q^{\bigcirc} = Q^{\bigotimes}$ 

Given an interface predicates Q::(X ► Y)

- There exists a Moore machine that implements **Q** iff **Q** is realizable
- There exists a Moore machine with an interface behavior equal to Q iff Q is fully realizable
- If Q<sup>®</sup> = false then there does not exist a Moore machine that implements Q



Hiding of Output Streams

Given a specification

 $Q = (X \triangleright Y): A$ 

where A is an interface assertion with free identifiers from X and Y and Y'  $\subseteq$  Y

(Hide Y': Q):: $(X \triangleright Y \setminus Y')$ for  $x \in \vec{X}$ ,  $y' \in \overline{Y \setminus Y'}$ (Hide Y': Q) $(x, y') = \exists y \in \vec{Y}$ : Q $(x, y) \land y' = y|(Y \setminus Y')$ 

## **Abstraction of Time**

 $\mathsf{M}^{*|\omega} = \mathsf{M}^* \cup \mathsf{M}^{\omega}$ 

 $\label{eq:streams: M* = $\cup_{n \in \mathbb{N}} \{t \in \mathbb{N} \colon 1 \leq t \leq n\} \to M$}$ 

Infinite Streams:  $M^{\circ} = \mathbb{N} \to M$ 

Data type of streams over set M: Str M

Untimed histories

 $\overline{X} = (X \to M^{*|\omega})$  $\overline{X}_{fin} = (X \to M^{*})$ 

Timed and untimed interface behavior specifications

• An interface predicate

 $Q : \overrightarrow{X} \times \overrightarrow{Y} \to \mathbb{B}$ 

is called a timed specification and we write Q :: (X > Y)

• An interface predicate

 $\mathsf{R}:\overline{\mathsf{X}}\times\overline{\mathsf{Y}}\to\mathbb{B}$ 

is called a untimed specification and we write  $R :: (X \triangleright Y)$ 

Given a timed stream  $x \in (M^*)^{\scriptscriptstyle (0)}$  we define an untimed stream  $\overline{x} \in M^{*|_{\scriptscriptstyle (0)}}$  by  $\overline{x} = x(1)^x(2)^x(3)^2...$ 

The same notation is used for histories.

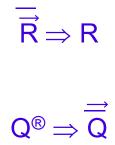
Given a timed interface predicate  $Q :: (X \triangleright Y)$  we define an untimed interface predicate  $\overline{Q} :: (X \triangleright Y)$  by

$$\overline{\mathsf{Q}}(\mathsf{x}',\,\mathsf{y}') = \exists \ \mathsf{x} \in \overrightarrow{\mathsf{X}}, \mathsf{y} \in \overrightarrow{\mathsf{Y}}: \, \mathsf{Q}(\mathsf{x},\,\mathsf{y}) \land \mathsf{x}' = \overleftarrow{\mathsf{x}} \land \mathsf{y}' = \overleftarrow{\mathsf{y}}$$

For an untimed interface predicate R ::  $(X \triangleright Y)$  define timed interface predicates R<sup>></sup>,  $\vec{R}$  ::  $(X \triangleright Y)$  by

 $R^{>}(x, y) = R(\overline{x}, \overline{y})$  $\overrightarrow{R} = (R^{>})^{\circledast}$ 

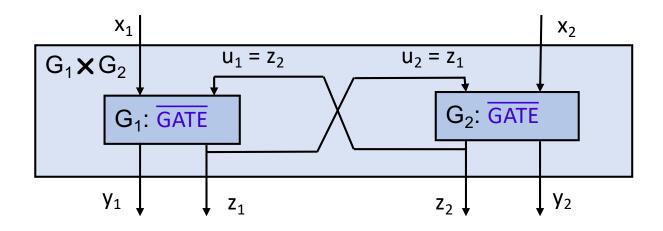
#### Theorems





# Concurrent composition of untimed interface predicates

UTG = (x<sub>1</sub>: Data, x<sub>2</sub>: Data  $\triangleright$  y<sub>1</sub>: Data, z<sub>1</sub>: Data, y<sub>2</sub>: Data, z<sub>2</sub>: Data):  $\overline{GATE}(x_1, z_2, y_1, z_1) \land \overline{GATE}(x_2, z_1, y_2, z_2)$ 





Looking for solutions for these feedback equations for streams  $z_1$  and  $z_2$ , consider as a simple example the following input streams

 $x_1 = \langle 1 \hspace{.1cm} 1 \rangle \wedge x_2 = \langle 2 \hspace{.1cm} 2 \rangle$ 

This input leads (for all  $d \in Data$ ) to the assertions

 $\begin{array}{l} d\#\langle 1 \ 1 \rangle + d\#z_2 = d\#y_1 + d\#z_1 \land ((d\#\langle 1 \ 1 \rangle + d\#z_2) = \infty \Rightarrow (d\#y_1 = \infty \land d\#z_1 = \infty)) \\ d\#\langle 2 \ 2 \rangle + d\#z_1 = d\#y_2 + d\#z_2 \land ((d\#\langle 2 \ 2 \rangle + d\#z_1) = \infty \Rightarrow (d\#y_2 = \infty \land d\#z_2 = \infty)) \end{array}$ 

Solutions for the defining equations:

$$\begin{array}{l} y_1 = \langle 1 \ 1 \rangle \wedge z_1 = \langle \rangle \wedge y_2 = \langle 2 \ 2 \rangle \wedge z_2 = \langle \rangle \\ y_1 = \langle 1 \ 1 \rangle \wedge z_1 = \langle 1 \ 2 \rangle \wedge y_2 = \langle 2 \ 2 \rangle \wedge z_2 = \langle 1 \ 2 \rangle \\ y_1 = \langle 1 \ 2 \rangle \wedge z_1 = \langle 1 \ 2 \ 1 \rangle \wedge y_2 = \langle 2 \ 1 \rangle \wedge z_2 = \langle 2 \ 1 \ 2 \rangle \\ y_1 = \langle 1 \ 1 \rangle \wedge z_1 = \langle 3 \rangle \wedge y_2 = \langle 2 \ 2 \rangle \wedge z_2 = \langle 3 \rangle \quad \text{here } z_1 \text{ and } z_2 \text{ correspond to} \\ noncausal fixpoints \end{array}$$

ТШ

Concurrent composition of composable untimed interface predicates  $R_k::(X_k \triangleright Y_k)$ , for k = 1, 2, leads to an untimed interface predicate

 $R :: (X \triangleright Y)$ 

where  $X = (X_1 \cup X_2) \setminus Y$ ,  $Y = Y_1 \cup Y_2$ , defined by (excluding noncausal fixpoints)

$$\mathsf{R} = \overline{\vec{\mathsf{R}}_1 \wedge \vec{\mathsf{R}}_2}$$

We write

 $R_1 \mathbf{X} R_2 = \overline{\vec{R}_1 \wedge \vec{R}_2}$ 

The untimed interface predicate  $\overrightarrow{R_k}$  is a refinement of untimed interface predicate  $\overrightarrow{R_k}$  where  $\overrightarrow{R_k}$  is the weakest time dependent interface predicate of a Moore machine the time abstraction of which is a refinement of  $\overrightarrow{R_k}$ .

For composable untimed interface predicates  $R_k :: (X_k \triangleright Y_k)$  we conclude

 $\overrightarrow{R_{k}} \Rightarrow (R_{k}^{>})^{\odot}$  $\overrightarrow{\overline{R_{k}}} \Rightarrow R_{k}$  $(\overrightarrow{\overline{R_{1}} \times \overrightarrow{R_{2}}})^{A} \Rightarrow R_{1}^{A} \wedge R_{2}^{A}$  $\overrightarrow{R_{1}} \times \overrightarrow{R_{2}} \Rightarrow (R_{1}^{>})^{\odot} \wedge (R_{2}^{>})^{\odot}$ 

пп

$$G_1 = GATE(x_1, z_2, y_1, z_1)$$
  
 $G_2 = GATE(x_2, z_1, y_2, z_2)$ 

## UTG = $\overline{G_1} \times \overline{G_2}$

UTG = 
$$\overrightarrow{\overline{G_1} \times \overline{G_2}}$$



 $(G_1^{>})^{\textcircled{o}} \wedge (G_2^{>})^{\textcircled{o}}$  implies the assertions  $\forall d \in Data, t \in \mathbb{N}$ :

 $d\#x_1 \downarrow t + d\#z_2 \downarrow t \geq d\#y_1 \downarrow (t+1) + d\#z_1 \downarrow (t+1) \land d\#x_2 \downarrow t + d\#z_1 \downarrow t \geq d\#y_2 \downarrow (t+1) + d\#z_2 \downarrow (t+1)$ 

By induction on t we prove from this equation (for timed and nontimed streams)

$$d\#x_1 = 0 \land d\#x_2 = 0 \Longrightarrow d\#z_1 = 0 \land d\#z_2 = 0$$

which excludes for input streams  $x_1 = \langle 1 | 1 \rangle \land x_2 = \langle 2 | 2 \rangle$  the feedback according to the noncausal fixpoint

 $y_1 = \langle 1 \ 1 \rangle \land z_1 = \langle 3 \rangle \land y_2 = \langle 2 \ 2 \rangle \land z_2 = \langle 3 \rangle$ 

Abstraction in Concurrent Systems. Princeton, May 2024

ТΠ

Concurrent composition of composable fully realizable untimed interface predicates  $R_k::(X_k \triangleright Y_k)$ , for k = 1, 2, leads to an interface predicate

 $\mathsf{R}_1 \times \mathsf{R}_2 :: (\mathsf{X} \triangleright \mathsf{Y})$ 

where  $X = (X_1 \cup X_2) \setminus Y$ ,  $Y = Y_1 \cup Y_2$ , which is fully realizable!

Moreover

 $(\mathsf{R}_1~\textbf{X}~\mathsf{R}_2)^{\mathsf{A}} \Rightarrow \mathsf{R}_1{}^{\mathsf{A}} \wedge \mathsf{R}_2{}^{\mathsf{A}}$ 

What we prove from  $R_1^A \wedge R_2^A$  holds for  $(R_1 \times R_2)^A$ 



For an untimed interface predicates  $R_k::(X_k \triangleright Y_k)$  the interface predicate:

 $(X \triangleright Y)$ :  $R_1^A \wedge R_2^A$ 

is in general too weak to identify causal fixpoints. Therefore, we instead consider

 $(X \triangleright Y)$ :  $(\overline{\overrightarrow{R}_1 \wedge \overrightarrow{R}_2})^A$ 

Deriving the exact specification for concurrent composition of untimed interface predicates, we

- consider their timed versions, complete them by full realizability (resulting in false, if they are not realizable),
- compose the result by concurrent composition and
- go back to the untimed version (by time abstraction, which is either false or fully realizable).

Every untimed system is executed

- by a timed implementation (a Moore machine);
- therefore we can use this construct to define the precise result of concurrent composition of untimed system specifications.

• The time abstraction for the interface predicate of a Moore machine

## $[\Delta, \Lambda]$

is well defined and fully realizable

- There are Moore machines with different timed interface behaviors with identical untimed interface behaviors ("abstraction")
- For every untimed interface behavior of a Moore machine there is a most general Moore machine with this untimed interface behavior.

 A Moore (Δ, Λ) machine for syntactic interface (X ► Y) can be abstracted to its fully realizable timed interface behavior

## **[**Δ, Λ **]** ∷ (X ► Y)

- A fully realizable interface behavior Q :: (X ► Y) can be abstracted
  - ♦ into a timed interface specification P :: (X ► Y) (a nucleus) such that

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Q = P^{\mathbb{R}}
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♦ into an untimed interface behavior

 $\overline{\mathsf{Q}}$  :: (X  $\triangleright$  Y)

- We get a model for untimed interactive computations
- The notions worked out for timed systems carry over to untimed systems
  - The critical task of concurrent composition of nondeterministic untimed interface behaviors (represented by predicates) which requires the identification of "least" fixpoints for feedback loops is solved by using results from timed systems
- Fully realizable untimed systems form a semantic model for the untimed interface behavior of Moore machines (abstracting away the time steps)

# From timed to untimed interface predicates and vice versa

#### Time Abstraction and Timed Representation

Abstraction

Abs:  $\overrightarrow{Y} \rightarrow \overline{Y}$ Abs(y) =  $\overline{y}$ 

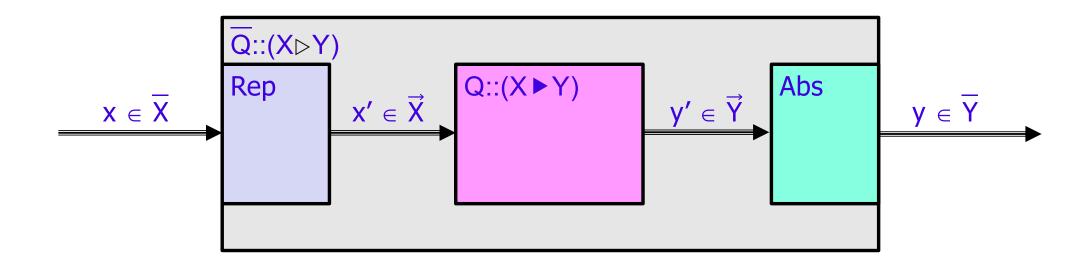
Representation

 $\begin{aligned} & \text{Rep:} \ \overline{X} \to (\overrightarrow{X}) \\ & \text{Rep}(x) = \{z \in \overrightarrow{X} \colon \overline{z} = x\} \end{aligned}$ 



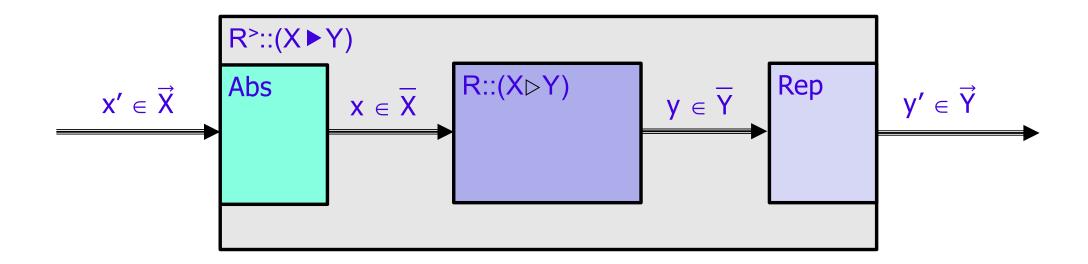
**Time Abstraction** 

 $\overline{\mathbf{Q}}$  = Rep ° Q ° Abs



Time Introduction: From Untimed to Timed Interface Predicates

 $R^{>} = Abs \circ R \circ Rep$ 



Fully realizable untimed interface predicates

An untimed interface predicate R :: (X > Y) is called fully realizable if

 $\vec{\mathsf{R}}$  is realizable

and

 $\overline{\overrightarrow{R}} = R$ 



A fully realizable timed interface predicate Q :: (X > Y) is called time insensitive if

$$\overline{z} = \overline{x} \Longrightarrow \{ \overline{y} \in \overline{Y} : Q(x, y) \} = \{ \overline{y} \in \overline{Y} : Q(z, y) \}$$

• GATE, TCG and TCBG are time insensitive, TCIG is not time insensitive Predicate Q is called fully time insensitive if Q is time insensitive and

$$\overrightarrow{\mathbf{Q}} = \mathbf{Q}$$

- Then timing of input x to Q influences the timing of the output y but all data outputs  $\overline{y}$  possible for any input z such that  $\overline{z} = \overline{x}$  are also possible for input x.
- The timing of output is only restricted by the causality!
- If Q is not time insensitive then there exists input x and z where  $\{\overline{y} \in \overline{Y} : Q(x, y)\} \neq \{\overline{y} \in \overline{Y} : Q(z, y)\}$ ; the data output may depend on the timing.

- If a specification is time insensitive we can reason about its data flow independent of its timing
  - The data output depends only on the data input
  - Of course the timing of the output may depend on the timing of the input
  - Time abstraction maintains the relation between untimed input and untimed output
- A specification is not time insensitive if its data output is not independent of the timing of its input

#### Properties of fully realizable untimed interface predicates: beyond prefix monotonicity

Fully realizable untimed interface predicates of Moore machines are not prefix monotonic, in general: Example:  $GATE - \overline{GATE}$  is fully realizable!

 $\mathsf{GATE}(1^{\infty}, \langle \rangle, \, y, \, z) \Longrightarrow y = 1^{\infty} \land z = 1^{\infty}$ 

 $\mathsf{GATE}(1^{\infty},\,2^{\infty},\,y',\,z') \Longrightarrow 1\#y' = \infty \land 2\#y' = \infty \land 1\#z' = \infty \land 2\#z' = \infty$ 

GATE is not prefix monotonic, since $1^{\infty} \equiv 1^{\infty} \land \langle \rangle \equiv 2^{\infty}$ butGATE(1^{\infty}, \langle \rangle, y, z) \land \overline{GATE}(1^{\infty}, 2^{\infty}, y', z') $\Rightarrow$  $\neg(y \equiv y') \land \neg(z \equiv z')$ 

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# Practical Impacts to Software and Systems Engineering

### Topics

- Refinement
- Modularity
  - Compositionality
- Verification calculus
  - Soundness and relative completeness
- Architecture
  - Layered architectures
  - Oistribution
- Assumption/commitment specifications
- Feature interactions
- Explicit parallelism
- Real time
- Cyber-physical systems

A formal system specification and implementation framework is modular, if

 there is an implementation and specification calculus such that for system S and specification Q (of the same syntactic interface) we write and deduce

### S ⊢ Q

which means system S fulfils specification Q

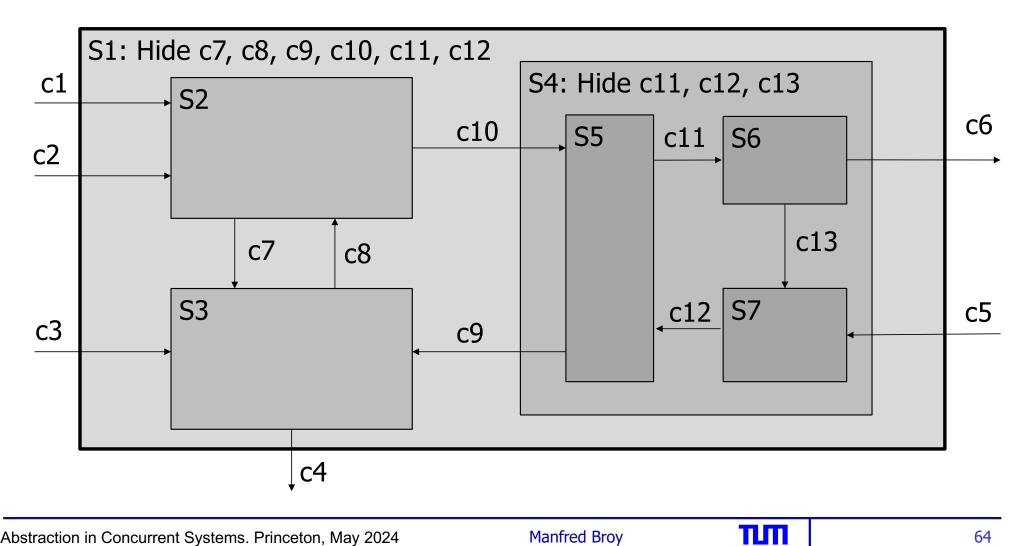
For every composition operator × for systems S<sub>1</sub> and S<sub>2</sub> there is a composition operator × for specifications Q<sub>1</sub> and Q<sub>2</sub>, such that

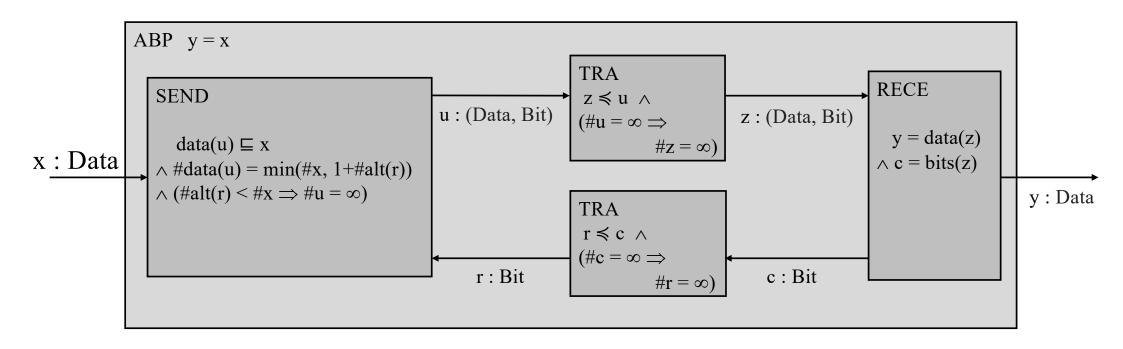
## $(S_1 \vdash Q_1 \land S_2 \vdash Q_2) \Rightarrow S_1 \And S_2 \vdash Q_1 \And Q_2$

Note that in our case the system model is a subset of the specification model



## **Architecture**

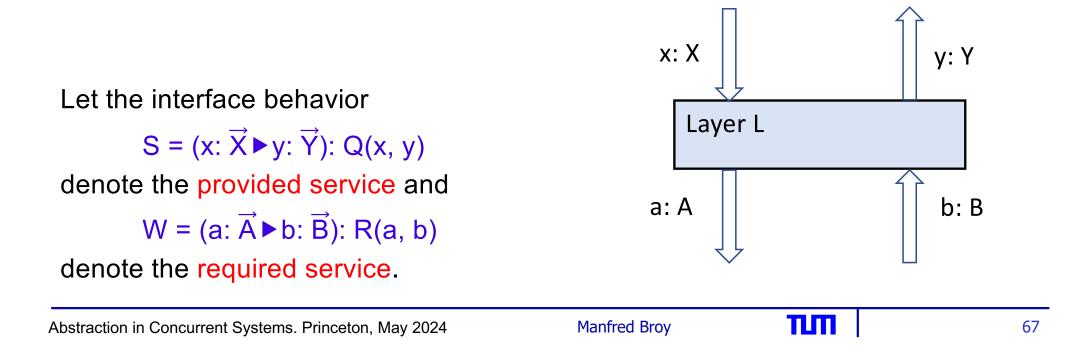




# **Layered Architectures**

- Layered architectures have many advantages.
- In many applications, therefore layered architectures are applied.

$$\mathsf{L} = (\mathsf{x}: \overrightarrow{\mathsf{X}}, \mathsf{b}: \overrightarrow{\mathsf{B}} \blacktriangleright \mathsf{y}: \overrightarrow{\mathsf{Y}}, \mathsf{a}: \overrightarrow{\mathsf{A}}): \mathsf{R}(\mathsf{a}, \mathsf{b}) \Rightarrow \mathsf{Q}(\mathsf{x}, \mathsf{y})$$



#### **Forming Layered Architectures**

We have two layers (k = 1, 2)

 $L_k = (x_k: \overrightarrow{X}_k, b_k: \overrightarrow{B}_k \blacktriangleright y_k: \overrightarrow{Y}_k, a_k: \overrightarrow{A}_k): \mathsf{R}_k(a_k, b_k) \Rightarrow \mathsf{Q}_k(x_k, y_k)$ 

that fit syntactically together, if

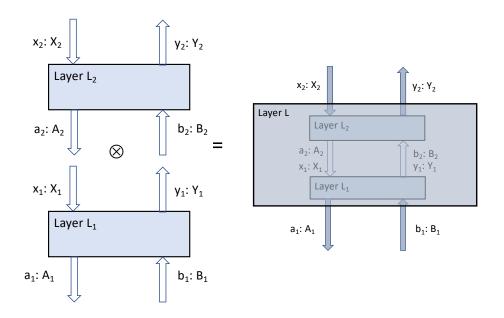
 $X_1 = A_2$  and  $Y_1 = B_2$ ,

and semantically if the provided service

 $S_1 = (x_1: \vec{X}_1 \triangleright y_1: \vec{Y}_1): Q_1(x_1, y_1)$ 

of the lower layer  $L_1$  is a refinement of the requested service

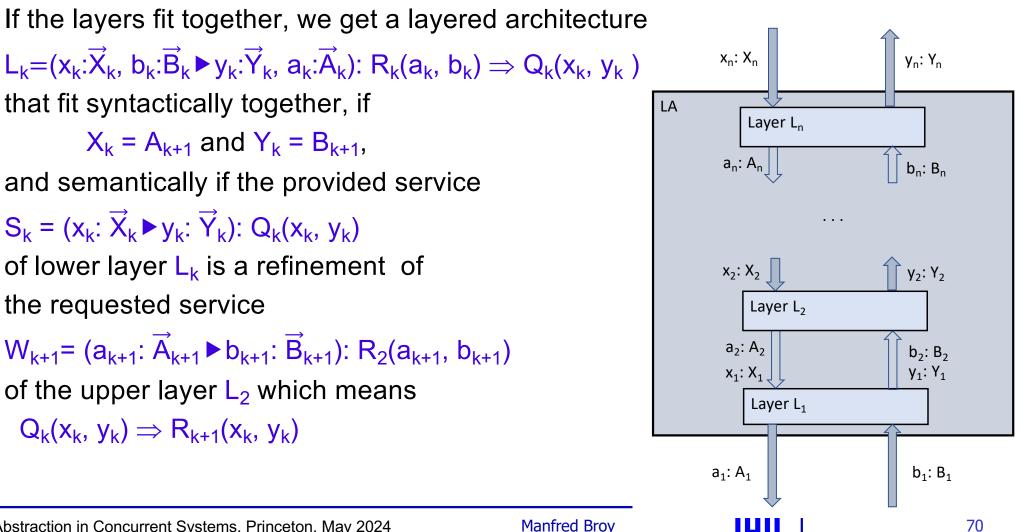
 $W_2 = (a_2: \overrightarrow{A}_2 \triangleright b_2: \overrightarrow{B}_2): R_2(a_2, b_2)$ of the upper layer L<sub>2</sub> which means (note that X<sub>1</sub> = B<sub>2</sub> and Y<sub>1</sub> = A<sub>2</sub>)  $Q_1(x_1, y_1) \Rightarrow R_2(x_1, y_1)$ 



We compose the two layers to a system L

$$\begin{array}{l} \mathsf{L} \\ = \mathsf{Hide} \; x_1 \in : \vec{X}_1, \, y_1: \vec{Y}_1: \, \mathsf{L}_1 \, \bigstar \, \mathsf{L}_2 \\ = (x_2: \vec{X}_2, \, b_1: \, \vec{B}_1 \blacktriangleright y_2: \vec{Y}_2, \, a_1: \, \vec{A}_1): \, \exists \; x_1 \in : \vec{X}_1, \, y_1: \, \vec{Y}_1: \\ (\mathsf{R}_1(a_1, \, b_1) \Rightarrow \mathsf{Q}_1(x_1, \, y_1)) \land (\mathsf{R}_2(x_1, \, y_1) \Rightarrow \mathsf{Q}_2(x_2, \, y_2)) \\ \mathsf{f} \; \mathsf{Q}_1(x_1, \, y_1) \Rightarrow \mathsf{R}_2(x_1, \, y_1) \; \mathsf{holds} \; \mathsf{we} \; \mathsf{conclude} \\ \mathsf{L} = (x_2: \, \vec{X}_2, \, b_1: \, \vec{B}_1 \blacktriangleright y_2: \, \vec{Y}_2, \, a_1: \, \vec{A}_1): \; (\mathsf{R}_1(a_1, \, b_1) \Rightarrow \mathsf{Q}_2(x_2, \, y_2)) \end{array}$$

System L which is the result of composing the two layers is a layer again with the provided service of layer  $L_2$  and the requested service of layer  $L_1$ .



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Manfred Broy

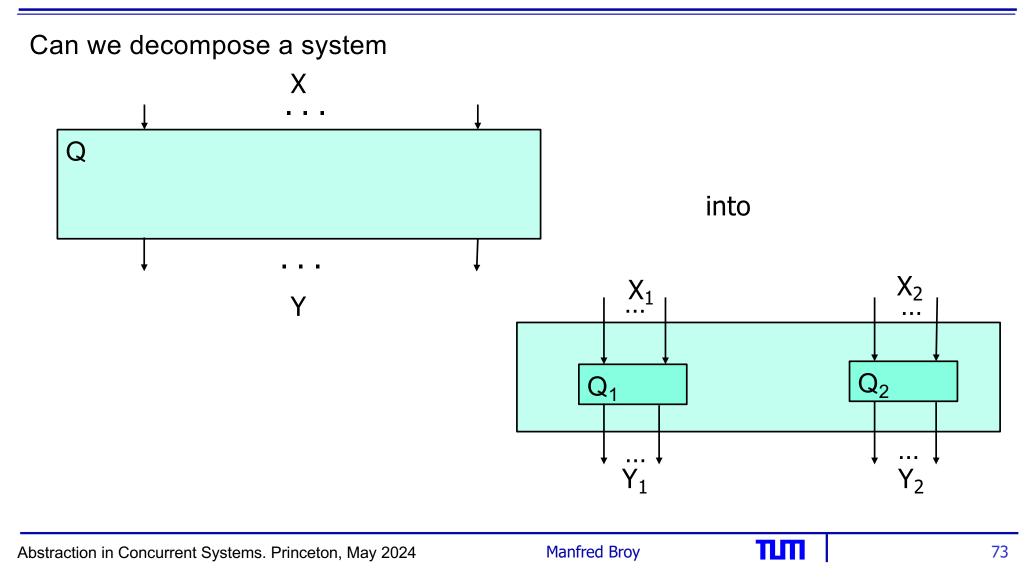
## **Feature Interaction**

Given a specification

 $(X \triangleright Y)$ : Q where X'  $\subseteq$  X, Y'  $\subseteq$  Y

a subservice Q<sup>†</sup>(X' ► Y') is defined by projection

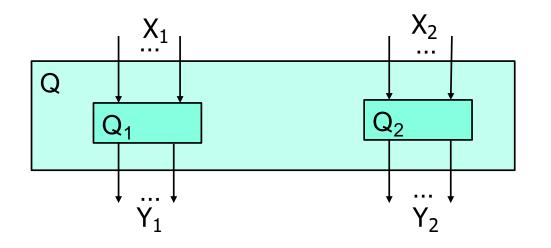
 $(\mathsf{Q} \dagger (\mathsf{X}' \blacktriangleright \mathsf{Y}'))(x', \, y') = \exists \ x \in \overrightarrow{\mathsf{X}}, \, y \in \overrightarrow{\mathsf{Y}} \colon \mathsf{Q}(x, \, y) \land x' = x | \mathsf{X}' \land y' = y | \mathsf{Y}'$ 



Let X =  $X_1 \cup X_2$ , Y =  $Y_1 \cup Y_2$ , where the sets  $X_1$ ,  $X_2$ ,  $Y_1$ , and  $Y_2$  are pairwise disjoint

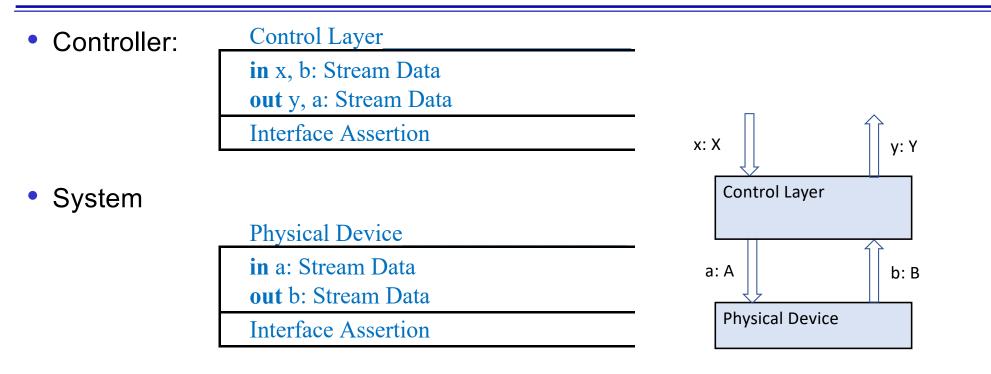
The subservices  $Q_1 = Q|(X_1 \triangleright Y_1)$  and  $Q_2 = Q|(X_2 \triangleright Y_2)$  of service Q are free of feature interactions if

 $Q(x, y) = (Q_1(x|X_1, y|Y_1) \land Q_2(x|X_2, y|Y_2))$ 



- Control Theory (Regelungstechnik)
  - Control theory deals with the control of dynamical systems in engineered processes and machines.
  - The objective is to develop a model or algorithm governing the application of system inputs to drive the system to a desired state, while minimizing any delay, overshoot, or steady-state error and ensuring a level of control stability;
  - ♦ often with the aim to achieve a degree of optimality.
- Control Theory works with continuous functions over the parameter time, with differential and integral equations and the notion of stability

## Controller and System as Relations on Streams



Specifying the Physical Device: Simple Example Automatic Window

- The state space is used to model the state of the window.
- A state consists of two attributes:

```
mode: {stopped, goin_up, goin_down, alarm}
p: [0:100]
```

- Here p stands for position and represents the position of the window.
- The position p = 100 holds if the window is closed, p = 0 holds if the window is open.
- The mode indicates the actual movement of the window, the position indicates how far the window is closed.
- The state mode = goin\_up, position = 50 models the state of the window moving up in a situation where it is half closed.

 The control input to the system and its output are given by the following two sets:

Input = {open, close, stop}

Output = {open, closed, stopped, alarm, mov\_up, mov\_down}

- The state transition function is defined as follows
  - ♦ (we write for any set M the set  $M_+ = M \cup \{\epsilon\}$  where  $\epsilon$  stands for *no message*):

 $\Delta: State \times Input_{+} \rightarrow \wp (State \times Output_{+})$ 

• The attributes of the next state are represented by mode' and p'.

mode	р	input	mode'	p'	output
≠ alarm		stop	stopped	= p	stopped
stopped		3	stopped	= p	stopped
goin_down   stopped		close	goin_up	= p	mov_up
goin_up   stopped		open	goin_down	= p	mov_down
goin_up	= 100	ε   close	stopped	= 100	closed
goin_up	< 100	ε   close	goin_up	> p	mov_up
goin_up			alarm	= p	alarm
goin_down	= 0	ε   open	stopped	= 0	open
goin_down	> 0	ε   open	goin_down	< p	mov_down
alarm	> 0		alarm	< p	alarm
alarm	= 0		stopped	= 0	open

The table Tab. 1 defines the state transition relation by a disjunctive formula. Every line in the table defines an assertion. For instance, the following line

goin\_up = 100  $\epsilon$  | close stopped = 100 closed

represents the conjunctive formula:

mode = goin\_up 
$$\land$$
 p = 100  $\land$  (input =  $\varepsilon \lor$  input = close)

 $\land$  mode' = stopped  $\land$  p' = 100  $\land$  output = closed

These conjunctive formulas represented by the lines of the table are connected by disjunction to deduce the formula that specifies the state transition from data.

ТШ

The Behavior of the Physical System as a Relation on Streams

The specification of a relation representing the function

```
\phi \text{:} \mbox{State} \rightarrow (\mbox{ (Stream Input} \times \mbox{Stream Output}) \rightarrow \mbox{IB })
```

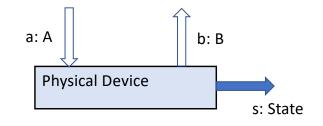
that describes the specification of the behavior of the physical device is derived from the state transition function as follows

 $\varphi(\sigma)(\langle e \rangle \hat{a}, \langle r \rangle \hat{b}) = \exists \sigma' \in State: (\sigma', r) \in \Delta(\sigma, e) \land \varphi(\sigma')(a, b)$ 

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The behavior of the physical system is defined

- By a state machine with input and output
- The states model the state of the physical systems
- $\diamond$  The state machine defines a relation  $\phi$  between
  - the input stream(s) the stream of actuator signals and
  - the output stream(s) the stream of sensor signals
- The relation φ can be extended to a behavior φ of the physical systems in terms of its states



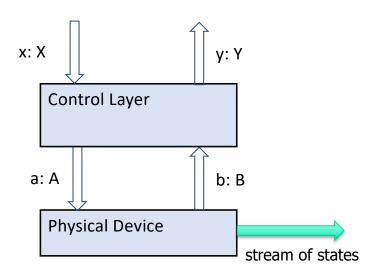
 $\varphi \text{:} \mbox{State} \rightarrow$  ( (Stream Input  $\times$  Stream Output  $\times$  Stream State)  $\rightarrow$  IB )

 $\phi(\sigma)(\langle e \rangle^{\hat{}}a, \langle r \rangle^{\hat{}}b, \langle \sigma' \rangle^{\hat{}}s) = ((\sigma', r) \in \Delta(\sigma, e) \land \phi(\sigma')(a, b, s))$ 

## Conclusion

- We compose the physical device specified by the interface assertion φ(σ<sub>0</sub>)(a, b, s) where σ<sub>0</sub> is the initial state of the physical device with the
  - control layer specified by the interface assertion CL(x, b, y, a) and get the interface assertion of the composed system

 $\exists$  a, b: CL(x, b, y, a)  $\land \phi(\sigma_0)(a, b, s)$ 





Semantic driven system development

- Encapsulation
  - Form architectural elements with interfaces that encapsulate the access by interfaces
- Information hiding
  - Hide implementation details not needed to understand the effect on the context
- Functional abstraction: Model the interface including interface behavior
- Composition
  - Define the interface behavior of composed systems from the interface behavior of the components
- Interface refinement
  - Make specifications more detailed
- Modularity (generalization of Liskov's substitution principle)
  - Guarantee that refinement of specifications of components leads to refinement of specifications of composed systems

## **Concluding Remarks**

- Expressive power and flexibility
  - In principle all kinds of behavior can be specified
  - Specifications can be noncausal, weakly or strongly causal, realizable or fully realizable
- Specification, composition, verification and refinement by a calculus that is
  - ♦ Sound
  - A Relatively complete
  - Making specification f.r. (often s.c. is enough) is sufficient for all proofs

- Methodological extensions
  - Assumption/Commitment specifications
  - ◊ Time free specifications
- Architecture design by specifications
   Distributed concurrent systems
- Further Extensions
  - Infinite networks (recursive definitions of networks)
  - Optimic systems
  - ◊ Probability

- A tool for proving in the calculus
- A programming language for implementation
- Probabilities for interface behavior
- A time free version for non-time-sensitive interface specifications
   Ambiguous operators